

### <u>REMARKS</u>

### The Claimed Invention

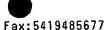
The present invention is directed to derivatives of crown either compounds that are <u>required</u> to comprise a dye, a reactive group or a conjugated substance. These crown ether compounds have the following general structure:

wherein the substituents are as defined in the claims. The present crown ether compounds bind sodium, calcium and potassium ions under physiological conditions and when attached to a fluorophore demonstrate a changed fluorescent signal when bound to said ions. Thus, these crown ether compounds find particular use as indicators of said metal ions.

### The Pending Claims

Prior to entry of the attached amendments, Claims 1-51 are pending. Claims 1-20 are directed to the present crown ether compounds comprising at least one -L-DYE, -L-Rx or -L-Sc. Claims 21-43 are directed to the present crown ether compounds wherein the compounds comprise at least one -L-DYE. Claims 44-50 are directed to a method for detecting target metal ions in a sample using the present crown ether compounds. Claim 51 is directed to a kit for detecting or quantitating target metal ions.

#### The Office Action



Election of a single disclosed species in Claims 1-51 is required.

### <u>Amendments</u>

Claims 1, 10, 13-15, 21, 23-25, 30, 33-36, 42, 44, 46 and 50 have been amended.

Claims 1, 21 and 44 have been amended to replace '2-4' with '2, 3 or 4'. Support can be found in the claims as filed.

Claims 1, 21 and 44 have been amended to remove 'H' as a possibility for  $R^1$  or  $R^2$ .

Claims 1, 21 and 23 have been amended to remove the claim term 'functional'.

Claims 10 and 30 have been amended to clarify that the presently claimed compound can optionally comprise more than one –L-DYE moiety at a position other than R<sup>9</sup>. Support can be found in the claim as filed.

Claim 13 has been amended to clarify the Markush group and to add 'biotin and silica'. Support can be found in Claim 14 as filed and on page 29 lines 19-21, page 30 lines 22-25, page 31 lines 1-2 and in Examples 73 and 74.

Claim 14 has been amended to properly depend from Claim 13.

Claims 15 and 35 have been amended to clarify the Markush group.

Claims 24 and 25 have been amended to remove the claim language 'on the compound'.

Claim 33 has been amended to remove 'psoralen' and to add the conjugated substances. Support can be found in Claim 34 as claimed and on page 29 lines 19-21, page 30 lines 22-25, page 31 lines 1-2 and in Examples 73 and 74.

Claim 34 has been amended to properly depend from Claim 33.

Claim 36 has been amended to clarify a clerical error in the structure as drawn and to indicate that Y is O or NR<sup>4</sup>. Support can be found in Claim 16 as filed and on page 8 lines 17-21.

Claim 42 has been amended to correct a typographical error in the structure as drawn and to indicate that L is a covalent linkage. Support can be found in Claim 16 as filled and on page 8 lines 17-21.

Claim 46 has been amended to remove the phrase 'the step of'.

Claim 50 has been amended to remove the claim term 'further'.



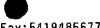
Applicants believe that no new matter has been added by any of these amendments and the Examiner is respectfully requested to enter them.

# RESPONSE TO THE RESTRICTION REQUIREMENT

In the response that follows, the Examiner's Election/Restriction of the Applicant's claimed invention is provided in full text, as identified by indented small bold print, followed by the Applicants response.

Claims 1-51 are generic to a plurality of disclosed patentably distinct species comprising compounds of claim 1. Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, even though this requirement is traversed.

For the purposes of initiating a search and examination of the present claims and as required by CFR 1.143, Applicants provisionally elect the following compound 1,18-bis(methoxycarbonylmethyl)-14-methyl-5-[TMR]-DDTCPD. This compound is exemplified as Compound 138 on pages 56-57 and is covered in Claims 1, 2, 4-7, 10-12, 16-22, 24, 25, 27, 30-32, 36-40 and 42-51 of the present application. The elected species compound has the following structure:



Applicants respectfully request that when the elected species is found patentable that the Examiner expand the scope of the searching and examination to include the other presently claimed crown ether compound.

### CONCLUSION

In view of the above amendments and remarks, it is submitted that this application is now ready for allowance. Early notice to this effect is solicited. If, in the opinion of the Examiner, a telephone conference would expedite the prosecution of the subject application, the Examiner is invited to call the undersigned at (541) 984-5656.

Respectfully submitted,

Reg. No. 51,051

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### PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MARTIN et al.

Examiner: Bruck Kifle

Serial No.: 10/026,302

Filed: December 19, 2001

Group Art Unit: 1624

**Crown Ether Derivatives** For:

MARKED-UP VERSION OF THE CLAIMS

Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

Dear Sir:

This Marked-up Version of the Claims is being submitted along with the Response to the Election Requirement dated May 28, 2003. These Marked-up Claims are being submitted on or before the due date of June 28, 2003.



### What is claimed is:

# 1. (Currently Amended) A compound of the formula

wherein

P and Q are independently O, S, or NR3, where each R3 is independently H or  $C_1\text{-}C_6$ alkyl;

Y is O, S, or NR4, where R4 is H; or is -L-Rx, -L-Sc, or -L-DYE; or is  $C_1$ - $C_{18}$  alkyl or an aryl or heteroaryl ring system, which alkyl or ring system is optionally substituted by halogen, azido, nitro, nitroso, amino, C1-C6 alkylamino, C2-C12 dialkylamino, cyano, -L--Rx, -L-Sc, or -L-DYE; or by C1-C8 alkyl or C1-C8 alkoxy that is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>15</sup>, -(C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>; wherein

R15 is H or C1-C6 alkyl; or -L-Rx, -L-Sc, or -L-DYE;

 $\mathsf{R}^{16}$  is H, a  $\mathsf{C}_1\text{-}\mathsf{C}_6$  alkyl, a benzyl, a biologically compatible esterifying group, a biologically compatible salt; or -L-Rx, -L-Sc, or -L-DYE;

R<sup>17</sup> and R<sup>18</sup> are independently H, C<sub>1</sub>-C<sub>8</sub> alkyl, C<sub>1</sub>-C<sub>6</sub> carboxyalkyl, an alphaacyloxyalkyl, a t-butyldimethylsilyl, or a blologically compatible salt; or -L-Rx, -L--So, or -L-DYE; or R<sup>17</sup> and R<sup>18</sup> taken in combination form a 5- or 6-membered allphatic ring that optionally incorporates an oxygen atom;

each L is independently a covalent linkage;

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each  $R_x$  is independently a reactive [functional] group that is an acrylamide, an activated ester of a carboxylic acid, an acyl azide, an acyl nitrile, an aldehyde, an alkyl halide, an anhydride, an aniline, an aryl halide, an azide, an aziridine, a boronate, a diazoalkane, a haloacetamide, a halotriazine, a hydrazine, an imido ester, an isocyanate, an isothiocyanate, a maleimide, a phosphoramidite, a reactive platinum complex, a silyl halide, a sulfonyl halide, or a thiol;

each Sc is independently a conjugated substance;

DYE is a chemical moiety with an absorption maximum beyond 320 nm;

 $E^1$ ,  $E^2$ , and  $E^3$  are independently - $(CR^5_2)_{n^-}$ , or - $(C(O)CH_2)_{n^-}$ , where n=[2-4]  $\underline{2}$ ,  $\underline{3}$  or  $\underline{4}$ , and each  $R^5$  is independently H or  $CH_3$ , or two  $R^5$  moieties on adjacent carbons of one or more of  $E^1$ ,  $E^2$  or  $E^3$ , when taken in combination, form a 5- or 6-membered aliphatic ring;

 $R^1$  and  $R^2$  are independently [H; or] -L-R<sub>X1</sub> -L-S<sub>C</sub>, or -L-DYE; or C<sub>1</sub>-C<sub>18</sub> alkyl or C<sub>7</sub>-C<sub>18</sub> arylalkyl, each of which is optionally substituted by halogen, azido, nitro, nitroso, amino, hydroxy, cyano, or by an aryl or heteroaryl ring system; or by -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>15</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>; or by C<sub>1</sub>-C<sub>6</sub> alkylamino, C<sub>2</sub>-C<sub>12</sub> dialkylamino; or by C<sub>1</sub>-C<sub>6</sub> alkyl or C<sub>1</sub>-C<sub>6</sub> alkoxy, each of which is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>16</sup>, -(C=O)-O-R<sup>16</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

 $R^7$ - $R^{14}$  are independently H, halogen, azido, nitro, nitroso, amino, cyano, -L- $R_x$ , -L- $S_{C_1}$ -L-DYE; or  $C_1$ - $C_6$  alkyl or  $C_1$ - $C_6$  alkoxy, each of which is itself optionally substituted by halogen, amino, hydroxy, -( $SO_2$ )- $R^{16}$ , -( $SO_2$ )-O- $R^{15}$ , -(C=O)- $R^{15}$ , -(C=O)- $R^{16}$ , or - (C=O)- $R^{17}$ R<sup>18</sup>;

or any two adjacent substituents R<sup>7</sup>-R<sup>14</sup>, taken in combination, form a fused six-membered benzo molety, which is optionally substituted by halogen, azido, nitro, nitroso, amino, cyano, -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE; or C<sub>1</sub>-C<sub>6</sub> alkyl or C<sub>1</sub>-C<sub>6</sub> alkoxy, each of which is optionally substituted by halogen, amino, hydroxy, -(C=O)-R<sup>15</sup>, -(C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

or any two adjacent substituents R<sup>7</sup>-R<sup>14</sup>, taken in combination with each other, and with the aromatic ring they are bound to, form a fused DYE;

provided that said compound is substituted by at least one -L-DYE, -L-R<sub>x</sub>, or -L-S<sub>0</sub> at R<sup>1</sup>, R<sup>2</sup>, R<sup>4</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup>, or R<sup>14</sup>; or at least two of R<sup>7</sup>-R<sup>14</sup>, taken in combination, form a fused DYE.

- 2. (Original) A compound, as claimed in Claim 1, wherein each R<sup>5</sup> is H and each n is 2.
- 3. (Original) A compound, as claimed in Claim 1, wherein Y is NR4.
- 4. (Original) A compound, as claimed in Claim 1 wherein P and Q are O.
- 5. (Original) A compound, as claimed in Claim 4, wherein Y is O.
- (Original) A compound, as claimed in Claim 5, wherein said compound is substituted by only one -L-R<sub>X</sub>, or -L-S<sub>C</sub>, that is bound at R<sup>8</sup>, R<sup>9</sup>, R<sup>12</sup>, or R<sup>13</sup>.
- (Original) A compound, as claimed in Claim 1, wherein R<sup>1</sup> and R<sup>2</sup> are C<sub>1</sub>-C<sub>6</sub> alkyl that are substituted one or more times by cyano, -(C=O)-Q-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>.
- 8. (Original) A compound, as claimed in Claim 1, wherein R<sup>8</sup> and R<sup>9</sup>, and optionally R<sup>12</sup> and R<sup>13</sup>, taken in combination, form a fused DYE that is a substituted or unsubstituted benzofuran.
- (Original) A compound, as claimed in Claim 1, wherein said compound is substituted by exactly two DYE or fused DYE moieties.
- 10. (Currently Amended) A compound, as claimed in Claim 1, wherein said compound is substituted by exactly one -L-DYE moiety at R<sup>9</sup>, and said compound is optionally [additionally] substituted at a position other than R<sup>9</sup> by exactly one -L-R<sub>X</sub> or exactly one -L-S<sub>C</sub>.
- 11. (Original) A compound, as claimed in Claim 1, wherein each L is independently a single covalent bond, or a covalent linkage that is linear or branched, cyclic or heterocyclic, saturated or unsaturated, having 1-20 nonhydrogen atoms selected from the group consisting of C, N, P, O and S; and are composed of any combination



> of ether, thloether, amine, ester, carboxamide, sulfonamide, hydrazide bonds and aromatic or heteroaromatic bonds.

- 12. (Original) A compound, as claimed in Claim 11, wherein L is a single covalent bond or has the formula -(CH<sub>2</sub>)<sub>d</sub>(CONH(CH<sub>2</sub>)<sub>e</sub>)<sub>z</sub>- or -O(CH<sub>2</sub>)<sub>d</sub>(CONH(CH<sub>2</sub>)<sub>e</sub>)<sub>z</sub>-, where d is an integer from 0-5, e is an integer from 1-5 and z is 0 or 1.
- 13. (Currently Amended) A compound, as claimed in Claim 1, that is substituted by at least one Sc[, wherein each Sc is] selected from the group consisting of an amino acid, a peptide, a protein, a polysaccharide, a nucleoside, a nucleotide, an oligonucleotide, a nucleic acid, a hapten, [a psoralen,] a drug, a hormone, a lipid, a lipid assembly, a synthetic polymer, a polymeric microparticle, a biological cell, a biotin, a silica [or] and a virus.
- 14. (Currently Amended) A compound, as claimed in Claim 13, wherein said compound is substituted by exactly one  $S_{\mathbb{C}}$  that is a protein, a polysaccharide, a biotin, or a silica.
- 15. (Currently Amended) A compound, as claimed in Claim 1, that is substituted by at least one -L-  $R_X[$ , wherein  $R_X$  is] <u>selected from the group consisting of</u> a succinimidyl ester of a carboxylic acid, a haloacetamide, a hydrazine, an isothlocyanate, a maleimide, an allphatic amine, a silyl halide, [or] and a psoralen.
- 16. (Original) A compound, as claimed in Claim 1, having the formula

wherein Y is Q or NR⁴.

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- 17. (Original) A compound, as claimed in Claim 16, wherein DYE is an indole, a coumarin, a stilbene, a xanthene, an oxazine, or a polyazaindacene.
- 18. (Original) A compound, as claimed in Claim 17, wherein DYE is a fluorescein, a rhodamine, a rhodol, a polyazaindacene, an oxazine, a 3H-xanthen-6-ol-3-one, a 6amino-3H-xanthen-3-one, or a 6-amino-3H-xanthen-3-imine, and L is a single covalent bond.
- 19. (Original) A compound, as claimed in Claim 16, wherein  ${\sf R}^1$  and  ${\sf R}^2$  are  ${\sf C}_1{\sf -C}_6$  alkyl that are substituted one or more times by -(C=O)-O-R<sup>16</sup> or -(C=O)-NR<sup>17</sup>R<sup>18</sup>.
- 20. (Original) A compound, as claimed in Claim 19, wherein R1 and R2 are C1-C6 alkyl that are substituted one or more times by -(C=O)-O-R $^{16}$ , where each R $^{16}$  is H, C $_1$ -C $_6$ alkyl, an alpha-acyloxymethyl, a t-butyldimethyldimethylsilyl, or a biologically compatible salt.
- 21. (Currently Amended) A composition of matter comprising a compound of the formula:

wherein

P and Q are independently O, S, or NR³, where each R³ is independently H or  $C_1\text{-}C_6$ alkyl;

Y is O, S, or NR4, where R4 is H; or is -L-Rx, -L-Sc, or -L-DYE; or is C1-C18 alkyl or an aryl or heteroaryl ring system, which alkyl or ring system is optionally substituted by halogen, azido, nitro, nitroso, amino, C1-C6 alkylamino, C2-C12 dialkylamino, cyano, -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE; or by  $C_1$ - $C_6$  alkyl or  $C_1$ - $C_6$  alkoxy that is itself optionally

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> substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>15</sup>, -(C=O)-O- $R^{16}$ , or -(C=O)- $NR^{17}R^{18}$ ; wherein

R15 is H or C1-Ce alkyl; or -L-Rx, -L-Sc, or -L-DYE;

 $R^{18}$  is H, a  $C_1\text{-}C_6$  alkyl, a benzyl, a biologically compatible esterifying group, a biologically compatible salt; or -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE;

 $R^{17}$  and  $R^{18}$  are independently H,  $C_1\text{-}C_6$  alkyl,  $C_1\text{-}C_6$  carboxyalkyl, an alphaacyloxyalkyi, a t-butyldimethylsllyl, or a biologically compatible salt; or -L-Rx, -L-Sc, or -L-DYE; or R17 and R18 taken in combination form a 5- or 6membered aliphatic ring that optionally incorporates an oxygen atom;

each L is independently a covalent linkage;

each  $R_{\mathsf{X}}$  is independently a reactive [functional] group;

each Sc is independently a conjugated substance;

DYE is a chemical moiety with an absorption maximum beyond 320 nm;

 $E^1$ ,  $E^2$ , and  $E^3$  are independently -( $CR^5_2$ )<sub>n</sub>-, where n = [2-4] 2.3, or 4, and each  $R^5$  is independently H or CH<sub>3</sub>, or two R<sup>5</sup> moieties on adjacent carbons of one or more of E<sup>1</sup>, E<sup>2</sup> or E<sup>3</sup>, when taken in combination, form a 5- or 6-membered aliphatic ring;

R1 and R2 are independently [H; or] -L-Rx, -L-Sc, or -L-DYE; or C1-C18 alkyl or C7-C18 arylalkyl, each of which is optionally substituted by halogen, azido, nitro, nitroso, amino, hydroxy, cyano, or by an aryl or heteroaryl ring system; or by -(SO<sub>2</sub>)-R<sup>16</sup>, - $(SO_2)-O-R^{15}$ ,  $-(C=O)-R^{15}$ ,  $-(C=O)-O-R^{16}$ ,  $-(C=O)-NR^{17}R^{16}$ ; or by  $C_1-C_6$  alkylamino,  $C_2-C_6$  $C_{12}$  dialkylamino; or by  $C_1$ - $C_6$  alkyl or  $C_1$ - $C_6$  alkoxy, each of which is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>15</sup>, -(C=O)-O-R<sup>18</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

R<sup>7</sup>-R<sup>14</sup> are independently H, halogen, azido, nitro, nitroso, amino, cyano, -L-R<sub>x</sub>, -L--Sc, -L-DYE; or C1-C8 alkyl or C1-C8 alkoxy, each of which is itself optionally

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substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-R<sup>15</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

or any two adjacent substituents R<sup>7</sup>•R<sup>14</sup>, taken in combination, form a fused six-membered benzo moiety, which is optionally substituted by halogen, azido, nitro, nitroso, amino, cyano, -L-R<sub>X</sub>, -L-S<sub>C</sub>, or -L-DYE; or C<sub>1</sub>-C<sub>6</sub> alkyl or C<sub>1</sub>-C<sub>6</sub> alkoxy, each of which is optionally substituted by halogen, amino, hydroxy, -(C=O)-R<sup>15</sup>, -(C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

or any two adjacent substituents R<sup>7</sup>-R<sup>14</sup>, taken in combination with each other, and with the aromatic ring they are bound to, form a fused DYE;

provided that said compound is substituted by at least one -L-DYE moiety at one or more of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>7</sup>-R<sup>14</sup>; or at least two of R<sup>7</sup>-R<sup>14</sup>, taken in combination, form a fused DYE.

- 22. (Original) A composition, as claimed in Claim 21, wherein each R<sup>5</sup> of the compound is H and each n is 2.
- 23. (Currently Amended) A composition, as claimed in Claim 21, wherein each R<sub>X</sub> of the compound is independently a reactive [functional] group that is an acrylamide, an activated ester of a carboxylic acid, an acyl azide, an acyl nitrile, an aldehyde, an alkyl halide, an anhydride, an aniline, an aryl halide, an azide, an aziridine, a boronate, a diazoalkane, a haloacetamide, a halotriazine, a hydrazine, an imido ester, an isocyanate, an isothiocyanate, a maleimide, a phosphoramidite, a reactive platinum complex, a silyl halide, a sulfonyl halide, or a thiol.
- 24. (Currently Amended) A composition, as claimed in Claim 21, wherein each P and Q [on the compound] are O.
- 25. (Currently Amended) A composition, as claimed in Claim 24, wherein each Y [on the compound] is O.
- 26. (Original) A composition, as claimed in Claim 25, wherein said compound is substituted by only one -L-R<sub>x</sub>, or -L-S<sub>c</sub>, that is bound at  $R^6$ ,  $R^9$ ,  $R^{12}$ , or  $R^{13}$ .



- 27. (Original) A composition, as claimed in Claim 25, wherein R<sup>1</sup> and R<sup>2</sup> are C<sub>1</sub>-C<sub>8</sub> alkyl that are substituted one or more times by cyano, -(C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>.
- 28. (Original) A composition, as claimed in Claim 21, wherein R<sup>9</sup> and R<sup>9</sup>, and optionally R<sup>12</sup> and R<sup>13</sup>, taken in combination, form a fused DYE that is a substituted or unsubstituted benzofuran.
- 29. (Original) A composition, as claimed in Claim 21, wherein said compound is substituted by exactly two DYE or fused DYE moieties.
- 30. (Currently Amended) A composition, as claimed in Claim 21, wherein said compound is substituted by exactly one -L-DYE moiety at R<sup>9</sup>, and <u>said compound</u> is optionally [additionally] substituted by exactly one -L-R<sub>X</sub> or exactly one -L-S<sub>0</sub> at a position other than R<sup>9</sup>.
- 31. (Original) A composition, as claimed in Claim 21, wherein each L of the compound is independently a single covalent bond, or a covalent linkage that is linear or branched, cyclic or heterocyclic, saturated or unsaturated, having 1-20 nonhydrogen atoms selected from the group consisting of C, N, P, O and S; and are composed of any combination of ether, thioether, amine, ester, carboxamide, sulfonamide, hydrazide bonds and aromatic or heteroaromatic bonds.
- 32. (Original) A composition, as claimed in Claim 31, wherein each L of the compound is a single covalent bond or has the formula -(CH<sub>2</sub>)<sub>d</sub>(CONH(CH<sub>2</sub>)<sub>e</sub>)<sub>z</sub>- or -O(CH<sub>2</sub>)<sub>d</sub>(CONH(CH<sub>2</sub>)<sub>e</sub>)<sub>z</sub>-, where d is an integer from 0-5, e is an integer from 1-5 and z is 0 or 1.
- 33. (Currently Amended) A composition, as claimed in Claim 21, wherein each  $S_{\rm C}$  of the compound is an amino acid, a peptide, a protein, a polysaccharide, a nucleoside, a nucleotide, an oligonucleotide, a nucleic acid, a hapten, [a psoralen,] a drug, a hormone, a lipid, a lipid assembly, a synthetic polymer, a polymeric microparticle, a biological cell, a biotin, a silica or a virus.



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- 34. (Currently Amended) A composition, as claimed in Claim [21] 33, wherein said compound is substituted by exactly one S<sub>c</sub>, which S<sub>c</sub> is a protein, a polysaccharide, a biotin, or a silica.
- 35. (Currently Amended) A composition, as claimed in Claim 21, wherein said compound is substituted by exactly one  $R_X[$ , which  $R_X$  is] selected from the group consisting of a succinimidyl ester of a carboxylic acid, a haloacetamide, a hydrazine, an isothlocyanate, a maleimide, an aliphatic amine, a silyl halide, [or] and a psoralen.
- 36. (Currently Amended) A composition, as claimed in Claim 21, where the compound has the formula:

[where  $R^1$ ,  $R^2$ ,  $R^7$ ,  $R^8$ , and  $R^{10}$  are not –L-DYE, and no more than one, and optionally none, of  $R^{11}$ - $R^{14}$  is –L-DYE] wherein Y is O or  $NR^4$ .

37. (Original) A composition, as claimed in Claim 36, wherein each DYE on the compound is a fluorescein, a rhodamine, a rhodol, a polyazaindacene, an oxazine, a

3*H*-xanthen-6-ol-3-one, a 6-amino-3*H*-xanthen-3-one, or a 6-amino-3*H*-xanthen-3-one, a 6-amino-3*H*-xanthen-3-amino-3*H*-xanthen-3-amino-3*H*-xanthen-3-amino-3*H*-xanthen-3-amino-3*H*-xanthen-3-amino-3*H*-xanthen-3-amino-3*H*-xanthen-

- 38. (Original) A composition, as claimed in Claim 36, wherein R<sup>1</sup> and R<sup>2</sup> are C<sub>1</sub>-C<sub>6</sub> alkyl that are substituted one or more times by -(C=O)-O-R<sup>16</sup> or -(C=O)-NR<sup>17</sup>R<sup>18</sup>.
- 39. (Original) A composition, as claimed in Claim 38, wherein  $R^1$  and  $R^2$  are  $C_1$ - $C_6$  alkyl that are substituted one or more times by -(C=O)-O- $R^{16}$ , where each  $R^{16}$  is H, an alpha-acyloxymethyl, a t-butyldimethyldimethylsilyl, or a biologically compatible salt.
- 40. (Original) A composition, as claimed in Claim 36, further comprising a metal ion that is  $Ca^{2+}$ ,  $Na^+$ ,  $K^+$ , or  $Zn^{2+}$  associated with said compound.
- 41. (Original) A composition, as claimed in Claim 21, further comprising a natural or synthetic polymer or glass.
- 42. (Currently Amended) A compound having the formula:



or the formula:

wherein

 $R^1$  and  $R^2$  are  $C_1$ - $C_6$  alkyl that are substituted one or more times by cyano, an aryl or heteroaryl ring system, or by -(C=O)-O-R<sup>18</sup> or -(C=O)-NR<sup>17</sup>R<sup>18</sup>, where

 $R^{18}$  is H, a  $C_1\text{-}C_6$  alkyl, a benzyl, a biologically compatible esterifying group, or a biologically compatible salt;

 $R^{17}$  and  $R^{18}$  are independently H,  $C_1$ - $C_6$  alkyl,  $C_1$ - $C_6$  carboxyalkyl, an alphaacyloxymethyl, or a biologically compatible salt;

 $R^7$ - $R^{10}$ , and  $R^{11}$ - $R^{14}$ , where present, are independently H, chloro, bromo, fluoro, nitro, amino, or cyano; or  $C_1$ - $C_8$  alkyl or  $C_1$ - $C_6$  alkoxy that is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)- $R^{15}$ , -(SO<sub>2</sub>)- $R^{16}$ , -(C=O)- $R^{16}$ , or -(C=O)- $R^{17}R^{18}$ ; [and]

# L is a covalent linkage; and

DYE, where present is a polyazaindacene, an oxazine, or a xanthene, which is optionally substituted by halogen, nitro, sulfo, cyano, an aryl or heteroaryl ring system, or benzo, or alkyl, perfluoroalkyl, alkoxy, alkenyl, alkynyl, cycloalkyl, arylalkyl, or acyl, the alkyl portions of which contain fewer than 20 carbons.



# 43. (Original) A compound having the formula:

or the formula

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or the formula:

#### wherein

 $R^8$ , where present, is independently H or a  $C_1$ - $C_6$  alkyoxy, which is optionally substituted by -(C=O)-O- $R^{16}$  or -(C=O)-N $R^{17}R^{18}$ ;

 $\mathsf{R}^{16}$  and  $\mathsf{R}^{26}$ , where present, are independently H, a  $\mathsf{C}_1\text{-}\mathsf{C}_6$  alkyl, a benzyl, an alpha-acyloxyalkyl, a t-butyldimethylsilyl, or a biologically compatible salt;

 $R^{17}$  and  $R^{18},$  where present, are independently H, a  $C_1\text{-}C_6$  alkyl,  $C_1\text{-}C_6$  carboxyalkyl, or a biologically compatible salt;

W and W', where present, are independently F or Cl;

R<sup>30</sup>-R<sup>35</sup>, where present, are independently H, halogen, nitro, sulfo, cyano, alkyl, perfluoroalkyl, alkoxy, alkenyl, alkynyl, cycloalkyl, arylalkyl, or acyl, wherein the alkyl portions of each contain fewer than 20 carbons; or an aryl or heteroaryl ring system; or adjacent substituents R<sup>31</sup> and R<sup>32</sup>, and adjacent substituents R<sup>33</sup> and R<sup>34</sup>, when taken in combination form a fused benzo ring that is optionally substituted by hydrogen, halogen, nitro, sulfo, cyano, alkyl, perfluoroalkyl, alkoxy, alkenyl, alkynyl, cycloalkyl, alkylthio, alkylamido, amino, monoalkylamino or dialkylamino wherein the alkyl portions of each contain fewer than 20 carbons.

- 44. (Currently Amended) A method of detecting a target cationic metal lon in a sample, comprising:
  - a) adding to said sample, in an amount sufficient to generate a detectable optical response when said target ion is present, a compound having the formula:



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wherein

P and Q are independently O, S, or NR $^{\rm S}$ , where each R $^{\rm S}$  is independently H or C $_{\rm 1}$ - C $_{\rm 6}$  alkyl;

Y is O, S, or NR<sup>4</sup>, where R<sup>4</sup> is H; or is -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE; or is C<sub>1</sub>-C<sub>18</sub> alkyl or an aryl or heteroaryl ring system, which alkyl or ring system is optionally substituted by halogen, azido, nitro, nitroso, amino, C<sub>1</sub>-C<sub>8</sub> alkylamino, C<sub>2</sub>-C<sub>12</sub> dialkylamino, cyano, -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE; or by C<sub>1</sub>-C<sub>8</sub> alkyl or C<sub>1</sub>-C<sub>6</sub> alkoxy that is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, - (C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>; wherein

R15 is H or C1-Ce alkyl; or -L-Rx, -L-Sc, or -L-DYE;

 $R^{18}$  is H, a  $C_1$ - $C_6$  alkyl, a benzyl, a biologically compatible esterifying group, a biologically compatible salt; or -L- $R_X$ , -L- $S_C$ , or -L-DYE;

 $R^{17}$  and  $R^{18}$  are independently H,  $C_1$ - $C_6$  alkyl,  $C_1$ - $C_6$  carboxyalkyl, an alpha-acyloxyalkyl, a t-butyldimethylsilyl, or a biologically compatible salt; or -L- $R_x$ , -L- $S_c$ , or -L-DYE; or  $R^{17}$  and  $R^{18}$  taken in combination form a 5- or 6-membered aliphatic ring that optionally incorporates an oxygen atom;

each L is independently a covalent linkage;

each  $R_{\mathsf{X}}$  is independently a reactive [functional] group;

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each Sc is independently a conjugated substance;

DYE is a chemical molety with an absorption maximum beyond 320 nm;

 $E^1$ ,  $E^2$ , and  $E^3$  are independently - $(CR^6_2)_n$ -, where  $n = [2-4] \, \underline{2.3.4}$ , and each  $R^6$  is independently H or  $CH_3$ , or two  $R^5$  moleties on adjacent carbons of one or more of  $E^1$ ,  $E^2$  or  $E^3$ , when taken in combination, form a 5- or 6-membered aliphatic ring;

 $R^1$  and  $R^2$  are independently [H; or] -L-R<sub>X</sub>, -L-S<sub>C</sub>, or -L-DYE; or  $C_1$ - $C_{18}$  alkyl or  $C_7$ - $C_{18}$  arylalkyl, each of which is optionally substituted by halogen, azido, nitro, nitroso, amino, hydroxy, cyano, or by an aryl or heteroaryl ring system; or by -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-O-R<sup>18</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>; or by  $C_1$ - $C_8$  alkylamino,  $C_2$ - $C_{12}$  dialkylamino; or by  $C_1$ - $C_8$  alkyl or  $C_1$ - $C_8$  alkoxy, each of which is itself optionally substituted by halogen, amino, hydroxy, -(SO<sub>2</sub>)-R<sup>15</sup>, -(SO<sub>2</sub>)-O-R<sup>15</sup>, -(C=O)-O-R<sup>16</sup>, -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

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 $R^7$ - $R^{14}$  are independently H, halogen, azido, nitro, nitroso, amino, cyano, -L- $R_x$ , -L- $S_C$ , -L-DYE; or  $C_1$ - $C_6$  alkyl or  $C_1$ - $C_6$  alkoxy, each of which is itself optionally substituted by halogen, amino, hydroxy, -( $SO_2$ )- $R^{15}$ , -( $SO_2$ )-O- $R^{15}$ , -(C=O)- $R^{15}$ , or -(C=O)- $R^{16}$ ;

or any two adjacent substituents R<sup>7</sup>-R<sup>14</sup>, taken in combination, form a fused six-membered benzo moiety, which is optionally substituted by halogen, azido, nitro, nitroso, amino, cyano, -L-R<sub>x</sub>, -L-S<sub>c</sub>, or -L-DYE; or C<sub>1</sub>-C<sub>6</sub> alkyl or C<sub>1</sub>-C<sub>6</sub> alkoxy, each of which is optionally substituted by halogen, amino, hydroxy, -(C=O)-R<sup>15</sup>, -(C=O)-O-R<sup>16</sup>, or -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

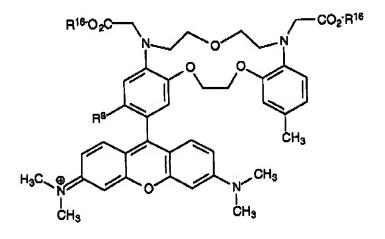
or any two adjacent substituents R<sup>7</sup>-R<sup>14</sup>, taken in combination with each other, and with the aromatic ring they are bound to, form a fused DYE;

provided that said compound is substituted by at least one -L-DYE molety at one or more of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>7</sup>-R<sup>14</sup>; or at least two of R<sup>7</sup>-R<sup>14</sup>, taken in combination, form a fused DYE;

b) illuminating said sample to generate said detectable optical response [that indicates that] whereby said target ion is present.



- 45. (Original) A method, as claimed in Claim 44, wherein said detectable optical response is a fluorescence response.
- 46. (Currently Amended) A method, as claimed in Claim 45, wherein [the step of] said illuminating is performed in conjunction with a fluorometer, fluorescence microscope, laser scanner, flow cytometer, a microfluidio device, or a fiber optic probe.
- 47. (Original) A method, as claimed in Claim 44, wherein said target metal ion is Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, or Zn<sup>2+</sup>.
- 48. (Original) A method, as claimed in Claim 44, wherein said compound has the formula:



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or the formula:



or the formula:

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wherein

 $R^8$ , where present, is independently H or a  $C_1$ - $C_6$  alkyoxy, which is optionally substituted by -(C=O)-O- $R^{16}$  or -(C=O)-N $R^{17}R^{18}$ ;

 $R^{16}$  and  $R^{26}$ , where present, are independently H, a  $C_1$ - $C_6$  alkyl, a benzyl, an alpha-acyloxyalkyl, a t-butyldimethylsilyl, or a biologically compatible salt;

 $R^{17}$  and  $R^{18}$ , where present, are independently H, a  $C_1$ - $C_8$  alkyl,  $C_1$ - $C_8$  carboxyalkyl, or a biologically compatible salt;

W and W', where present, are independently F or Cl;

R<sup>30</sup>-R<sup>35</sup>, where present, are independently H, halogen, nitro, sulfo, cyano, alkyl, perfluoroalkyl, alkoxy, alkenyl, alkynyl, cycloalkyl, arylalkyl, or acyl, wherein the

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alkyl portions of each contain fewer than 20 carbons; or an aryl or heteroaryl ring system.

- 49. (Original) A method, as claimed in Claim 48, wherein said target metal ion is  $Na^+$  or  $K^+$ .
- 50. (Currently Amended) A method, as claimed in Claim 44, wherein said sample [further] comprises living cells or biological fluids.
- 51. (Original) A kit for the detection or quantification of a target metal ion, comprising a compound having the formula:

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# or the formula:

### or the formula:

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wherein

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 $R^{8}$ , where present, is independently H or a  $C_{1}$ - $C_{8}$  alkyoxy, which is optionally substituted by -(C=O)-O-R<sup>16</sup> or -(C=O)-NR<sup>17</sup>R<sup>18</sup>;

 $\mathrm{R}^{16}$  and  $\mathrm{R}^{28}$ , where present, are independently H, a C<sub>1</sub>-C<sub>8</sub> alkyl, a benzyl, an alpha-acyloxyalkyl, a t-butyldimethylsilyl, or a biologically compatible sait;

 $R^{17}$  and  $R^{18},$  where present, are independently H, a  $C_1\text{-}C_6$  alkyl,  $C_1\text{-}C_6$ carboxyalkyl, or a biologically compatible salt;

W and W', where present, are independently F or Cl;

R<sup>30</sup>-R<sup>35</sup>, where present, are independently H, halogen, nitro, sulfo, cyano, alkyl, perfluoroalkyl, alkoxy, alkenyl, alkynyl, cycloalkyl, arylalkyl, or acyl, wherein the alkyl portions of each contain fewer than 20 carbons; or an aryl or heteroaryl ring system;

and comprising one or more components selected from the group consisting of:

- a) a calibration standard of a target ion;
- b) an ionophore;
- c) a fluorescence standard;
- d) an aqueous buffer solution; and

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e) an organic solvent.

Respectfully submitted,

Reg. No. 51,061

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